

Top 5-Reporting according to MIFID II/RTS 28
For Private Clients
Year 2021

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Class of Instrument Equity (AA99)
Ticksize AB
Notification if <1 average trade per business day in the previous year No

Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Zürich	83,92%	61,15%	100,00%	0,00%	0,08%
New York-NYSE	4,01%	9,96%	100,00%	0,00%	0,00%
New York-NASDAQ	3,64%	10,86%	100,00%	0,00%	0,22%
Toronto TSE	2,30%	1,01%	100,00%	0,00%	2,33%
Xetra	1,77%	1,99%	100,00%	0,00%	34,91%
Others	4,37%	15,03%			
Total	100,00%	100,00%			

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For Private Clients
Year 2021

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Class of Instrument	Equity (AA99)
Ticksizes	CD
Notification if <1 average trade per business day in the previous year	No

Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Paris	51,45%	30,68%	100,00%	0,00%	0,36%
London	30,38%	14,74%	100,00%	0,00%	1,89%
Xetra	9,25%	44,74%	100,00%	0,00%	2,14%
Zürich	2,80%	3,38%	100,00%	0,00%	1,93%
New York-NYSE	2,37%	1,23%	100,00%	0,00%	21,21%
Others	3,75%	5,23%			
Total	100,00%	100,00%			

Top 5-Reporting according to MIFID II/RTS 28
For Private Clients
Year 2021

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Class of Instrument	Equity (AA99)
Ticksize	EF
Notification if <1 average trade per business day in the previous year	No

Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Paris	22,46%	4,07%	100,00%	0,00%	1,09%
New York-NYSE	17,46%	5,84%	100,00%	0,00%	3,36%
New York-NASDAQ	16,32%	8,13%	100,00%	0,00%	2,17%
Xetra	16,25%	68,12%	100,00%	0,00%	0,94%
London	7,58%	1,84%	100,00%	0,00%	52,19%
Others	19,93%	12,00%			
Total	100,00%	100,00%			

Top 5-Reporting according to MIFID II/RTS 28
For Private Clients
Year 2021

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Class of Instrument	Equity (AA99)
Ticksize	--
Notification if <1 average trade per business day in the previous year	Yes

Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
New York-NYSE	53,56%	91,71%	100,00%	0,00%	5,45%
New York-NASDAQ	30,79%	7,21%	100,00%	0,00%	0,00%
Xetra	6,90%	0,59%	100,00%	0,00%	6,25%
Zürich	3,77%	0,37%	100,00%	0,00%	0,00%
Toronto TSX	2,80%	0,04%	100,00%	0,00%	0,00%
Others	2,19%	0,08%			
Total	100,00%	100,00%			

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For Private Clients
Year 2021

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Class of Instrument	Equity certificates (AB99)
Ticksizes	AB
Notification if <1 average trade per business day in the previous year	No

Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
New York-NYSE	60,80%	75,10%	100,00%	0,00%	24,31%
London	22,67%	2,49%	100,00%	0,00%	66,67%
Frankfurt	7,03%	2,90%	100,00%	0,00%	85,71%
New York-NASDAQ	4,99%	17,43%	100,00%	0,00%	16,67%
New York-OTC	3,38%	1,66%	100,00%	0,00%	100,00%
Others	1,14%	0,42%			
Total	100,00%	100,00%			

Top 5-Reporting according to MIFID II/RTS 28
For Private Clients
Year 2021

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Class of Instrument	Equity certificates (AB99)
Ticksizes	CD
Notification if <1 average trade per business day in the previous year	No

Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
New York-NYSE	92,35%	83,12%	100,00%	0,00%	26,70%
New York-NASDAQ	4,33%	13,41%	100,00%	0,00%	0,00%
Xetra	2,51%	2,31%	100,00%	0,00%	97,06%
Frankfurt	0,44%	0,61%	100,00%	0,00%	77,78%
London	0,30%	0,48%	100,00%	0,00%	100,00%
Others	0,07%	0,07%			
Total	100,00%	100,00%			

Top 5-Reporting according to MIFID II/RTS 28
For Private Clients
Year 2021

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Class of Instrument	Equity certificates (AB99)
Ticksiz	EF
Notification if <1 average trade per business day in the previous year	No

Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Xetra	92,09%	99,66%	100,00%	0,00%	0,29%
New York-NASDAQ	7,44%	0,24%	100,00%	0,00%	80,00%
Frankfurt	0,47%	0,10%	100,00%	0,00%	100,00%
Others	0,00%	0,00%			
Total	100,00%	100,00%			

Top 5-Reporting according to MIFID II/RTS 28
For Private Clients
Year 2021

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Class of Instrument	Exchange Traded Funds (AC99)
Ticksiz	--
Notification if <1 average trade per business day in the previous year	No

Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Bloomberg MTF	87,26%	62,73%	100,00%	0,00%	6,20%
Xetra	12,28%	36,38%	100,00%	0,00%	47,64%
Stuttgart	0,20%	0,21%	100,00%	0,00%	13,16%
New York - Arca	0,11%	0,32%	100,00%	0,00%	0,00%
Zürich	0,08%	0,04%	100,00%	0,00%	0,00%
Others	0,07%	0,32%			
Total	100,00%	100,00%			

Top 5-Reporting according to MIFID II/RTS 28
 For Private Clients
 Year 2021

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Class of Instrument	Certificates (AD99)
Ticksiz	--
Notification if <1 average trade per business day in the previous year	No

Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Zürich	100,00%	100,00%	100,00%	0,00%	0,27%
Andere	0,00%	0,00%			
Total	100,00%	100,00%			

Top 5-Reporting according to MIFID II/RTS 28
For Private Clients
Year 2021

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Class of Instrument other equity related instruments (AE99)
Ticksize --
Notification if <1 average trade per business day in the previous year Yes

Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Zürich	41,52%	6,50%	100,00%	0,00%	0,00%
Xetra	34,63%	82,66%	100,00%	0,00%	6,37%
Bloomberg MTF	20,57%	0,62%	100,00%	0,00%	0,00%
Stuttgart	2,97%	0,93%	100,00%	0,00%	0,00%
Paris	0,30%	2,17%	100,00%	0,00%	28,57%
Others	0,02%	7,12%			
Total	100,00%	100,00%			

Top 5-Reporting according to MIFID II/RTS 28
For Private Clients
Year 2021

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Class of Instrument	Government bonds (BA10)
Ticksizes	--
Notification if <1 average trade per business day in the previous year	No

Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Bloomberg MTF	98,08%	88,72%	100,00%	0,00%	0,45%
Stuttgart	1,92%	11,28%	100,00%	0,00%	1,18%
Others	0,00%	0,00%			
Total	100,00%	100,00%			

Top 5-Reporting according to MIFID II/RTS 28
For Private Clients
Year 2021

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Class of Instrument	Other bonds (BA11)
Ticksizes	--
Notification if <1 average trade per business day in the previous year	Yes

Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Bloomberg MTF	96,55%	90,91%	100,00%	0,00%	0,00%
Stuttgart	3,45%	9,09%	100,00%	0,00%	0,00%
Others	0,00%	0,00%			
Total	100,00%	100,00%			

Top 5-Reporting according to MIFID II/RTS 28
 For Private Clients
 Year 2021

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Class of Instrument	Convertibles (BA12)
Ticksizes	--
Notification if <1 average trade per business day in the previous year	Yes

Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Bloomberg MTF	90,50%	75,00%	100,00%	0,00%	0,00%
Frankfurt	9,50%	25,00%	100,00%	0,00%	0,00%
Others	0,00%	0,00%			
Total	100,00%	100,00%			

Top 5-Reporting according to MIFID II/RTS 28
 For Private Clients
 Year 2021

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Class of Instrument	Debentures (BA13)
Ticksizes	--
Notification if <1 average trade per business day in the previous year	Yes

Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Bloomberg MTF	99,89%	90,12%	100,00%	0,00%	0,00%
Stuttgart	0,11%	9,88%	100,00%	0,00%	0,00%
Others	0,00%	0,00%			
Total	100,00%	100,00%			

Top 5-Reporting according to MIFID II/RTS 28
For Private Clients
Year 2021

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Class of Instrument	Corporate Bonds (BA14)
Ticksiz	--
Notification if <1 average trade per business day in the previous year	No

Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Bloomberg MTF	84,90%	40,02%	100,00%	0,00%	0,86%
Stuttgart	14,76%	59,69%	100,00%	0,00%	1,72%
Frankfurt	0,24%	0,17%	100,00%	0,00%	33,33%
München	0,11%	0,11%	100,00%	0,00%	0,00%
Others	0,00%	0,00%			
Total	100,00%	100,00%			

Top 5-Reporting according to MIFID II/RTS 28
 For Private Clients
 Year 2021

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Class of Instrument Other Bonds (BA15)
 Ticksizes --
 Notification if <1 average trade per business day in the previous year No

Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Bloomberg MTF	88,95%	61,90%	100,00%	0,00%	0,00%
Stuttgart	11,05%	38,10%	100,00%	0,00%	6,94%
Others	0,00%	0,00%			
Total	100,00%	100,00%			

Top 5-Reporting according to MIFID II/RTS 28
For Private Clients
Year 2021

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Class of Instrument	Securitized equity derivatives (BE21)
Ticksize	--
Notification if <1 average trade per business day in the previous year	No

Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Stuttgart	77,76%	87,83%	100,00%	0,00%	2,56%
Frankfurt	21,94%	12,10%	100,00%	0,00%	7,51%
Bloomberg MTF	0,27%	0,02%	100,00%	0,00%	0,00%
Düsseldorf	0,03%	0,04%	100,00%	0,00%	100,00%
Others	0,00%	0,00%			
Total	100,00%	100,00%			

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 For Private Clients
 Year 2021

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Class of Instrument	Securitized commodity derivatives (BE22)
Ticksiz	--
Notification if <1 average trade per business day in the previous year	Yes

Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Stuttgart	100,00%	100,00%	100,00%	0,00%	0,19%
Others	0,00%	0,00%			
Total	100,00%	100,00%			

Top 5-Reporting according to MIFID II/RTS 28
 For Private Clients
 Year 2021

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Class of Instrument	Securitized FX derivatives (BE24)
Ticksiz	--
Notification if <1 average trade per business day in the previous year	Yes

Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Stuttgart	100,00%	100,00%	100,00%	0,00%	0,00%
Others	0,00%	0,00%			
Total	100,00%	100,00%			

Top 5-Reporting according to MIFID II/RTS 28
For Private Clients
Year 2021

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Class of Instrument	Securitized derivatives (BE99)
Ticksize	--
Notification if <1 average trade per business day in the previous year	Yes

Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Xetra	56,41%	73,97%	100,00%	0,00%	100,00%
Stuttgart	38,34%	20,55%	100,00%	0,00%	33,33%
Frankfurt	5,24%	5,48%	100,00%	0,00%	50,00%
Others	0,00%	0,00%			
Total	100,00%	100,00%			

Top 5-Reporting according to MIFID II/RTS 28
For Private Clients
Year 2021

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Class of Instrument ETPs (BF99)
Ticksize --
Notification if <1 average trade per business day in the previous year No

Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Xetra	75,54%	82,19%	100,00%	0,00%	23,33%
Bloomberg MTF	22,35%	13,70%	100,00%	0,00%	0,00%
Stuttgart	2,11%	4,11%	100,00%	0,00%	33,33%
Others	0,00%	0,00%			
Total	100,00%	100,00%			

Top 5-Reporting according to MIFID II/RTS 28
 For Private Clients
 Year 2021

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Class of Instrument ETNs (BG99)
 Ticksizes --
 Notification if <1 average trade per business day in the previous year No

Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Xetra	92,28%	83,33%	100,00%	0,00%	0,00%
Bloomberg MTF	7,72%	16,67%	100,00%	0,00%	100,00%
Others	0,00%	0,00%			
Total	100,00%	100,00%			

Top 5-Reporting according to MIFID II/RTS 28
For Private Clients
Year 2021

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Class of Instrument without further disclosure (C999)

Ticksizes --

Notification if <1 average trade per business day in the previous year No

Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Frankfurt	44,51%	31,25%	100,00%	0,00%	80,00%
Hamburg	5,59%	31,25%	100,00%	0,00%	40,00%
Others	49,90%	37,50%			
Total	100,00%	100,00%			

Top 5-Reporting according to MIFID II/RTS 28
For Private Clients
Year 2021

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Class of Instrument ETD (BD)

Ticksize --

Notification if <1 average trade per business day in the previous year No

Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Eurex	73,74%	39,13%	100,00%	0,00%	0,00%
Chicago Mercantile	23,47%	20,96%	100,00%	0,00%	0,00%
London	1,60%	5,43%	100,00%	0,00%	0,00%
Singapur	1,15%	2,33%	100,00%	0,00%	0,00%
Chicago Board Option	0,03%	30,90%	100,00%	0,00%	0,00%
Others	0,00%	1,24%			
Total	100,00%	100,00%			